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PATENT, TRADEMARK, COPYRIGHT
 AND UNFAIR COMPETITION LAW
 AND RELATED LITIGATION

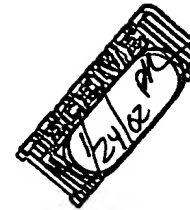
EDMUND P. WOOD 1923-1968
 TRUMAN A. HERRON 1935-1976
 EDWARD B. EVANS 1936-1971

January 24, 2002

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Official**FACSIMILE COVER SHEET**

To: Examiner Thomas A. Dixon
 Assistant Commissioner for Patents
 Washington, D.C. 20231

From: Scott A. Stinebruner
 Reg. No. 38,323

Fax: 703-746-7293

Re: U.S. Patent Application

Serial No. 09/314,324
 Filed: May 19, 1999
 Applicant: William Joseph Armstrong et al.
 Art Unit: 2161
 Confirmation No.: 5010
 Our Ref: IBM/91

Enclosures:

Fax Cover Sheet containing Certificate
 of Facsimile Transmission
 Amendment Transmittal (in duplicate)
 containing Certificate of Facsimile
 Transmission
 Amendment and Response

Pages: 18 (including cover sheet)

MESSAGE/COMMENTS**OFFICIAL****CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence and the enclosures noted herein (18 total pages) are being transmitted via facsimile transmission to Examiner Thomas A. Dixon, Assistant Commissioner for Patents, Washington, D.C. 20231 at 703-746-7293 on January 24, 2002.

Judith L. Volk
 Judith L. Volk

January 24, 2002
 Date

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PATENT
Att'y Docket No. IBM/91/124
Confirmation No. 5010

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Judith L. Volk
Judith L. Volk

January 24, 2002
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William Joseph Armstrong et al. Art Unit: 2161
Serial No.: 09/314,324 Examiner: Thomas A. Dixon
Filed : May 19, 1999
For : MANAGEMENT OF A CONCURRENT USE LICENSE IN A LOGICALLY-PARTITIONED COMPUTER

Assistant Commissioner for Patents
Washington, DC 20231

AMENDMENT TRANSMITTAL

1. ☒ Transmitted herewith is An Amendment and Response.
2. ☐ Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
☐ Enclosed is a verified statement to establish Small Entity status
☒ Other than a Small Entity
3. The fee has been calculated as shown below:

CALCULATION OF FEES

Fee:	Number of Claims After Amendment		Previously Paid For:	No. Extra:	At Rate:	Amount:
Total Claims	20	minus	20	0	\$18	\$0.00
Independent Claims	4	minus	4	0	\$84	\$0.00
MULTIPLE DEPENDENT CLAIM FEE					\$280	\$0.00
TOTAL FEE FOR CLAIMS:-						\$0.00

- ☒ No additional fee for claims is required.

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Serial No. 09/314,324
IBM Docket: RO999023
WH&E IBM/91
Transmittal for Amendment
K:\ibm\91\Amendment Transmittal.spd

4. ☐ Attached is a check in the sum of \$_____ for additional claims.
☐ Please charge my Deposit Account No. 23-3000 in the amount of \$_____.
5. **The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply. Complete (a) or (b) as applicable.**

- ☐ (a) Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

	<u>Ext. Mos.</u>	<u>Large entity</u>	<u>Small entity</u>
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 400.00	\$ 200.00
<input type="checkbox"/>	three months	\$ 920.00	\$ 460.00
<input type="checkbox"/>	four months	\$1,440.00	\$ 720.00
<input type="checkbox"/>	five months	\$1,960.00	\$ 980.00

Extension fee due with this request:

\$ _____

Method of Payment:

Check enclosed in the amount of \$ _____

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

- ☐ An extension for _____ months has already been secured and the fee paid thereof of \$_____ is deducted from the total fee due for the total months of extension now requested. Extension fee due with this request \$_____.
- OR**
- ☒ (b) **Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.**
6. ☒ **If any additional fee for claims or extension of time is required, charge Account No. 23-3000.**

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By:



Scott A. Stinebruner
Reg. No. 38,323

2700 Carew Tower
441 Vine Street
Cincinnati, Ohio 45202-2917
(513) 241-2324

Enclosed:

Fax Cover Sheet containing Certificate of Facsimile Transmission
Amendment Transmittal (in duplicate) containing Certificate of Facsimile Transmission
Amendment and Response

PATENT

IBM/91
Confirmation No. 5010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William Joseph Armstrong et al. Art Unit: 2161
Serial No.: 09/314,324 Examiner: Thomas A. Dixon
Filed: May 19, 1999 Atty. Docket No.: IBM/91
For: MANAGEMENT OF A CONCURRENT USE LICENSE IN A LOGICALLY-
PARTITIONED COMPUTER

AMENDMENT AND RESPONSE

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In reply to the Office Action dated November 8, 2001, the following amendments and remarks are provided:

AmendmentsIn the Specification

Please replace the paragraph beginning at page 1, line 4 with the following rewritten paragraph:

A1
This patent application is related to the following patent applications: U.S. Patent Application RO999-021, entitled "Apparatus and Method for Specifying Maximum Interactive Performance in a Logical Partition of a Computer System Independently from the Maximum Interactive Performance in Other Partitions," serial no. 09/314,541 filed May 19, 1999 by Armstrong et al.; U.S. Patent Application RO999-022, entitled "Processor Reset Generated via Memory Access Interrupt," serial no. 09/314,769 filed May 19, 1999 by Armstrong et al.; U.S. Patent Application RO999-024, entitled "Event-Driven Communications Interface for Logically-Partitioned Computer," serial no. 09/314,187 filed May 19, 1999 by Armstrong et al. (now issued as U.S. Patent No. 6,279,046); and U.S. Patent Application RO999-025, entitled "Logical Partition Manager and Method," serial no. 09/314,214 filed May 19, 1999.